

**PATENT COOPERATION TREATY**  
**PCT**  
**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**  
(Chapter II of the Patent Cooperation Treaty)  
(PCT Article 36 and Rule 70)

REC'D 26 APR 2005  
**PATENTABILITY**  
WIPO PCT

|   |   |   |                       |
|---|---|---|-----------------------|
| Applicant's or agent's file reference<br><b>PA-106826WO</b>   | <b>FOR FURTHER ACTION</b>   |   | See Form PCT/IPEA/416 |
| International application No.<br><b>PCT/NZ2004/000090</b>   | International filing date ( <i>day/month/year</i> )<br><b>17 May 2004</b> | Priority date ( <i>day/month/year</i> )<br><b>15 May 2003</b> |                       |
| International Patent Classification (IPC) or national classification and IPC<br><b>Int. Cl. <sup>7</sup> EO4H 15/28, 15/32 A47B 37/04 A45B 11/00, 25/00 F16B 2/06 F16L 3/12, 3/18</b> |   |   |                       |
| Applicant<br><b>KILLIP, Wayne Peter</b>   |   |   |                       |

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of **3** sheets, including this cover sheet.
3. This report is also accompanied by ANNEXES, comprising:
  - a. ☐ (*sent to the applicant and to the International Bureau*) a total of     sheets, as follows:

☐ sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).  
☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.
  - b. ☐ (*sent to the International Bureau only*) a total of (indicate type and number of electronic carrier(s))     , containing a sequence listing and/or table related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).
4. This report contains indications relating to the following items:

|                                     |              |   |
|-------------------------------------|--------------|---|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the report   |
| <input type="checkbox"/>            | Box No. II   | Priority  |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty; inventive step and industrial applicability  |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention  |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited   |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application  |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application   |

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|--|--|
| Date of submission of the demand<br><b>3 December 2004</b>   | Date of completion of the report<br><b>11 April 2005</b>                                   |
| Name and mailing address of the IPEA/AU<br><b>AUSTRALIAN PATENT OFFICE</b><br><b>PO BOX 200, WODEN ACT 2606, AUSTRALIA</b><br>E-mail address: <b>pct@ipaustalia.gov.au</b><br>Facsimile No. (02) 6285 3929 | Authorized Officer<br><br><b>SARAVANAMUTHU PONNAMPALAM</b><br>Telephone No. (02) 6283 2070 |

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/NZ2004/000090

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
  - ☐ This report is based on translations from the original language into the following language which is the language of a translation furnished for the purposes of:
    - ☐ international search (under Rules 12.3 and 23.1 (b))
    - ☐ publication of the international application (under Rule 12.4)
    - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)
2. With regard to the elements of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:
  - ☒ the international application as originally filed/furnished
  - ☐ the description:
 

|        |                               |                    |
|--------|-------------------------------|--------------------|
| pages  | as originally filed/furnished |                    |
| pages* | received by this Authority on | with the letter of |
| pages* | received by this Authority on | with the letter of |
  - ☐ the claims:
 

|        |   |                    |
|--------|---|--------------------|
| pages  | as originally filed/furnished                             |                    |
| pages* | as amended (together with any statement) under Article 19 |                    |
| pages* | received by this Authority on                             | with the letter of |
| pages* | received by this Authority on                             | with the letter of |
  - ☐ the drawings:
 

|        |                               |                    |
|--------|-------------------------------|--------------------|
| pages  | as originally filed/furnished |                    |
| pages* | received by this Authority on | with the letter of |
| pages* | received by this Authority on | with the letter of |
  - ☐ a sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.
3. ☐ The amendments have resulted in the cancellation of:
  - ☐ the description, pages
  - ☐ the claims, Nos.
  - ☐ the drawings, sheets/figs
  - ☐ the sequence listing (*specify*):
  - ☐ any table(s) related to the sequence listing (*specify*):
4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
  - ☐ the description, pages
  - ☐ the claims, Nos.
  - ☐ the drawings, sheets/figs
  - ☐ the sequence listing (*specify*):
  - ☐ any table(s) related to the sequence listing (*specify*):

\* If item 4 applies, some or all of those sheets may be marked "superseded."

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/NZ2004/000090

**Box No. V** Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

|                               |             |     |
|-------------------------------|-------------|-----|
| Novelty (N)                   | Claims 1-11 | YES |
|                               | Claims      | NO  |
| Inventive step (IS)           | Claims 1-11 | YES |
|                               | Claims      | NO  |
| Industrial applicability (IA) | Claims 1-11 | YES |
|                               | Claims      | NO  |

## 2. Citations and explanations (Rule 70.7)

### NOVELTY and INVENTIVE STEP

A. The documents constituting the closest prior art are:

- (i) US 2002/0088096 A
- (ii) EP 094122 B
- (iii) EP 0625 662 A

B. The subject matter of claim 1 differs from these prior art documents in that the internal wall of the tubular member is provided with at least two shaft contact surfaces, their arrangement being such that a polygon of forces is generated between the clamping means and the shaft contact surfaces to secure the shaft to the tubular member when the clamping means is operated.

C. The distinguishing features of the invention will improve the stability and easy to handle the umbrella.

D. Therefore the application satisfies the criteria set forth in PCT Article 33(2-3), concerning the novelty and inventive step of the independent claim 1.

E. The criteria concerning novelty and inventive step of claims 2-11 are satisfied because these claims are dependent on claim 1.

### INDUSTRIAL APPLICABILITY

The invention defined in claims 1-11 satisfies the criterion set forth in PCT Article 33(4).